



#3

## PATENT

Attorney Docket No.: 1030.52AC  
Serial No: 09/780,123

#3

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ruth Lecheler-Moore et al.For: Historical Data Warehousing System

RECEIVED

JUN 05 2001

the specification of which: (check and complete (a), (b), or (c))

OFFICE OF PETITIONS  
DEPUTY A/C PATENTS(a)        is attached hereto.(b) X was filed on February 8, 2001 as Application Serial No. 09/780,123.(c)        was described and claimed in International Application No. \_\_\_\_\_  
filed on \_\_\_\_\_.DECLARATION OF FACTS IN SUPPORT OF FILING ON BEHALF OF OMITTED INVENTOR  
(37 CFR 1.47)

Note: This declaration as to the pertinent facts concerning the refusal of the omitted inventor to join in the application or where the omitted inventor cannot be found or reached must accompany the declaration signed on behalf of the omitted inventor by a joint inventor or by a legal representative who shows a proprietary interest. Where the entity with a proprietary interest executes the declaration on behalf of the omitted inventor there must also be a showing that such action is necessary to preserve the rights of the parties or to prevent irreparable damage. 37 CFR 1.47

This declaration is made as to the exact facts which are relied upon to establish the diligent effort made to secure the execution of the declaration by the omitted inventor for the above identified patent application before deposit thereof in the Patent and Trademark Office.

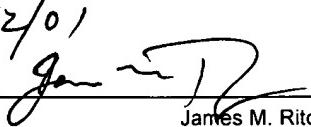
Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to:

Assistant Commissioner of Patents  
Washington, D.C. 20231

on 9/12/01

By: \_\_\_\_\_

  
James M. Ritchey  
Reg. No. 32,594



This declaration is being made by the available person having first-hand knowledge of the facts recited therein.

**IDENTIFICATION OF PERSON MAKING THIS DECLARATION OF FACTS**

Ruth Lecheler-Moore (co-inventor)

Name of Declarant

6503 Chesbro Circle, Rancho Murieta, CA 95683

Address of Declarant

**LAST KNOWN ADDRESS OF THE OMITTED INVENTOR**

Larry T. Riggs

Full name of omitted inventor

1431 Spring Valley Drive, Roseville, CA 95661

Last known address of omitted inventor

**DETAILS OF REFUSAL OF OMITTED INVENTOR TO SIGN APPLICATION PAPERS**

During the week of March 12, 2001, I presented Larry T. Riggs with the above noted application papers (specification, including claims, drawings, and declaration) for review and for his signature. At that time he stated that he would not sign the declaration document. He refused to sign based on his stated belief that the "forms were inappropriate."

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

4-11-01  
Date

Ruth Moore  
Signature of Declarant



#3

PATENT

Docket No.: 1030.52AC

**COMBINED DECLARATION AND POWER OF ATTORNEY**  
(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL,  
CONTINUATION, OR CIP)

As below named inventor, I hereby declare that:

**TYPE OF DECLARATION**

This declaration is of the following type:

- original
- design
- supplemental
- national stage of PCT
- divisional
- continuation
- continuation-in-part

**INVENTORSHIP IDENTIFICATION**

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Historical Data Warehousing System**

**SPECIFICATION IDENTIFICATION**

the specification of which:

- (a)  is attached hereto.
- (b)  was filed on February 8, 2001 as Serial No. 09/\_\_\_\_\_.
- (c)  was described and claimed in PCT international Application No. \_\_\_\_\_ amended under PCT Article 19 on \_\_\_\_\_ (if any).

## **ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR**

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose the information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulation § 1.56(a).

— In compliance with this duty there is attached an information disclosure statement. 37 CFR 1.97.

### **PRIORITY CLAIM**

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

- (d) X no such applications have been filed.  
(e) — such application have been filed as follows.

### **EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION**

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Country	Appl. No.	Date of Filing	Priority Under 35 USC119
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### **POWER OF ATTORNEY**

As a named inventor, I hereby appoint the following attorney to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

James M. Ritchey                      Registration No. 32,594

- Attached as part of this declaration and power of attorney is the authorization of the above-named attorney to accept and follow instructions from my representative(s).

SEND CORRESPONDENCE TO:

DIRECT TELEPHONE CALLS TO:

James M. Ritchey  
O'BANION & RITCHHEY  
Wells Fargo Center  
400 Capitol Mall, Suite 1550  
Sacramento, CA 95814

James M. Ritchey  
(916) 498-1010

**DECLARATION**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**SIGNATURE(S)**

Full name of sole or first inventor: Ruth Lecheler-Moore

Inventor's Signature: Ruth Moore

Date: 3/15/2001 Country of Citizenship: UNITED STATES

Residence: 6503 Chesbro Circle, Rancho Murieta, CA 95683

P.O. Address: 6503 Chesbro Circle, Rancho Murieta, CA 95683

Full name of second inventor: Tom Serio

Inventor's Signature: TS

Date: 3/15/2001 Country of Citizenship: UNITED STATES

Residence: 2505 5<sup>th</sup> Street #131, Davis, CA 95616

P.O. Address: 2505 5<sup>th</sup> Street #131, Davis, CA 95616

Full name of third inventor: Kirven Dunham

Inventor's Signature: KD

Date: 3-15-2001 Country of Citizenship: UNITED STATES

Residence: 11150 Trinity River Dr. #61, Rancho Cordova, CA 95670

P.O. Address: 11150 Trinity River Dr. #61, Rancho Cordova, CA 95670

Full name of fourth inventor: Larry T. Riggs

Inventor's Signature: (omitted inventor--completed on added page)

Date: \_\_\_\_\_ Country of Citizenship: UNITED STATES

Residence: 1431 Spring Valley Drive, Roseville, CA 95661

P.O. Address: 1431 Spring Valley Drive, Roseville, CA 95661

**CHECK PROPER BOX(ES) FOR ANY OF THE FOLLOWING ADDED PAGE(S) WHICH  
FORM A PART OF THIS DECLARATION**

- Signature for fifth and subsequent joint inventors.  
Number of pages added \_\_\_\_\_.
- Signature by administrator(trix), executor(trix) or legal representative for  
deceased or incapacitated inventor.  
Number of pages added \_\_\_\_\_.
- Signature for inventor who refuses to sign or cannot be reached by  
person authorized under 37 CFR 1.47.  
Number of pages added ONE (1).
- Added pages to combined declaration and power of attorney for  
divisional, continuation, or continuation-in-part (CIP) application.  
Number of pages added \_\_\_\_\_.
- Authorization of attorney(s) to accept and follow instructions from  
representative.
- This Declaration ends with this page.



**PATENT**

Attorney Docket No.: 1030.52AC

**ADDED PAGE TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR  
SIGNATURE BY ONE JOINT INVENTOR ON BEHALF OF OMITTED INVENTOR(S)  
WHO REFUSE(S) TO SIGN OR CANNOT BE REACHED (37 CFR 1.47(a))**

NOTE: Any available joint inventor can sign the declaration on behalf of one or more joint inventors who refuse to sign or cannot be reached. Use separate added page for each omitted inventor.

1. I am an above named joint inventor and have signed this declaration on my own behalf and also signed this declaration under 37 CFR 1.47(a) on behalf of the omitted joint inventor, particulars for whom are:

Full name of (first, second, etc.) omitted inventor: Larry T. Riggs

X who refuses to sign

  cannot be found or reached

NOTE: The name of the omitted inventor(s) should also be filed in at the appropriate prior space in the declaration adding the words "omitted inventor-completed on added page".

United States of America  
country of citizenship of omitted inventor

1431 Spring Valley Drive, Roseville, CA 95661  
last known address of omitted inventor

2. Accompanying this declaration is:

- (1) A DECLARATION OF FACTS IN SUPPORT OF FILING ON BEHALF OF OMITTED INVENTOR
- (2) THE PETITION FEE OF \$130.00 (37 CFR 1.17(h)).

4-2-01  
date

Ruth Lecheler-Moore  
name of joint inventor signing on behalf of omitted inventor

Ruth Moore  
signature of joint inventor signing on behalf of omitted inventor